

1

2

In vivo* virulence characterization of pregnancy-associated *Listeria

3

***monocytogenes* infections**

4

5

Holly A. Morrison^{1,4*}, David Lowe^{1,*}, Jennifer R. Robbins² and Anna I. Bakardjiev^{§1,3}

6

7

¹*Benioff Children's Hospital, Microbial Pathogenesis and Host Defense Program, University of*

8

California, San Francisco, CA 94143

9

²*Department of Biology, Xavier University, Cincinnati, OH*

10

³*Current address: VIR Biotechnology, San Francisco CA, 94158*

11

⁴*Current address: 10x Genomics, San Francisco, CA 94105*

12

^{*}*contributed equally*

13

14

[§]Corresponding author:

15

Tel. (415) 849-9229

16

499 Illinois St, Suite 500, San Francisco, CA 94158

17

E-mail: abakardjiev@vir.bio

18

19

Running head: Virulence of clinical *Listeria* isolates

20 **Abstract**

21 *Listeria monocytogenes* is a foodborne pathogen that infects the placenta and can cause
22 pregnancy complications. Listeriosis infections usually occur as sporadic infections, but large
23 outbreaks are also reported. Virulence from clinical isolates is rarely analyzed due to the large
24 number of animals required, but this knowledge could help guide the response to an outbreak.
25 We implemented a DNA barcode system using signature tags that allowed us to efficiently assay
26 variations in virulence across a large number of isolates. We tested 77 signature-tagged clones of
27 clinical *L. monocytogenes* strains from 72 infected human placentas and five
28 immunocompromised patients, all isolated since 2000. These strains were tested for virulence in
29 a modified competition assay in comparison to the laboratory strain 10403S. We used two *in vivo*
30 models of listeriosis: the non-pregnant mouse and the pregnant guinea pig. Strains that were
31 frequently found at high abundance within infected organs were considered “hypervirulent,”
32 while strains frequently found at low abundance were considered “hypovirulent.” Virulence split
33 relatively evenly among hypovirulent, hypervirulent, and strains equally virulent to 10403S. The
34 laboratory strain was found to have an intermediate virulence phenotype, supporting its
35 suitability for pathogenesis studies. Further, we found that splenic and placental virulence are
36 closely linked in both guinea pig and mouse models. This suggests that outbreak and sporadic
37 pregnancy-associated *L. monocytogenes* are not generally more virulent than lab reference
38 strains. However, some strains did show consistent and reproducible virulence differences,
39 suggesting that their further study may reveal deeper insights into the biological underpinnings
40 of listeriosis.

41

42 Introduction

43 Listeriosis is a foodborne disease that afflicts humans worldwide (1, 2). In the United
44 States, the Centers for Disease Control estimates it is responsible for approximately 1,600 cases
45 and 260 deaths per year (3). Most cases occur in predisposed individuals such as
46 immunocompromised patients, neonates and elderly adults. In those cases the main clinical
47 manifestations are sepsis, meningoencephalitis, and death (4). With a mortality rate of ~20% and
48 recurring foodborne outbreaks, listeriosis remains a significant public health concern (2, 5–7).

49 Disseminated infections are of particular concern in pregnant women, as *Listeria*
50 *monocytogenes* can spread to the placenta, fetus and/or neonate. Approximately 14% of
51 clinically recognized cases occur during pregnancy (8). Infection may lead to pregnancy loss,
52 preterm birth, stillbirth, and life-threatening neonatal infections (9); however, the mechanisms by
53 which *L. monocytogenes* reaches and breaches the placenta are only just beginning to be
54 understood using animal models (10). We previously established the pregnant guinea pig model
55 of listeriosis, which mimics human disease (11). After intravenous inoculation, the maternal
56 spleen and liver are colonized rapidly, whereas the placenta greatly resists *L. monocytogenes*
57 infection and is delayed in colonization (12, 13). It is possible that the placenta can only be
58 infected after robust dissemination of the bacteria throughout maternal organs. Alternatively, or
59 additionally, it is possible that pregnancy-associated cases of *L. monocytogenes* represent
60 bacterial strains that are more virulent generally or more specifically adapted for placental
61 colonization.

62 *L. monocytogenes* typically has a saprophytic lifestyle and is commonly found in soil,
63 vegetation, and animal feces. Furthermore, it is highly resistant to common antibacterial
64 precautions taken in food preparation; e.g. cold temperatures, desiccation, and high salt. These

65 factors combine to make *L. monocytogenes* a common food pathogen, but the infectious dose is
66 high, and so most cases of listeriosis are isolated, sporadic events (8). Indeed, the average adult
67 ingests $\sim 10^5$ CFU four times a year, but only a small number of predisposed individuals contract
68 listeriosis (14). Occasionally, major outbreaks occur in widely distributed foods, leading to
69 larger numbers of infections (5, 6). It remains an open question whether these outbreak strains
70 are more virulent than sporadic or lab reference strains.

71 Increasingly, we are learning about how outbreak and hypervirulent pathogen strains
72 arise and diverge from reference lab strains through the burgeoning field of microbial population
73 biology. Several studies have analyzed pathogenic strains to understand their evolution and
74 population structure (15–19), and some assay the virulence of representative clonal clusters
75 relative to historical reference strains (20). While these studies identify molecular differences
76 between strains that can account for their origin and altered virulence, actually assaying their
77 virulence *in vivo* is challenging due to the large number of laboratory animals required. This is
78 especially true when considering the testing of clinical isolates, with strains numbering in the
79 scores or hundreds. However, the use of DNA barcodes (signature tags) can allow for
80 multiplexed analysis of several strains within a single animal. Such studies allow researchers to
81 understand how virulence has evolved in clinical isolates over time while comparing them to lab
82 reference strains.

83 Here we characterize the virulence of 77 *L. monocytogenes* strains: 72 from pregnancy-
84 associated listeriosis cases and five from non-pregnant immunocompromised patients. Of the 72
85 pregnancy-associated strains, 68 were sporadic isolates and four were associated with foodborne
86 outbreaks. We set out to identify strains with increased and decreased systemic virulence as
87 compared to lab references, using a barcode-based competition assay in pregnant and non-

pregnant animal models. We also assayed for trends in virulence, comparing bacterial burdens across organs to determine which maternal organs were most likely to be infected in concert with the placenta.

Materials and Methods

Bacterial strains and culture conditions

The laboratory reference strains are 10403S (erythromycin susceptible) (21), DP-L3903 (erythromycin resistant) (22), and signature-tagged 10403S strains (23). All *L. monocytogenes* clinical strains used in this study are listed in Supplementary Table S1. Seventy-two clinical isolates of *L. monocytogenes* from pregnancy-associated listeriosis that occurred over 10 years (2000-2010) in 25 states in the US were obtained from the Centers for Disease Control and Prevention (CDC, Atlanta, GA). Of the 72 strains, 68 (94%) were isolates from sporadic cases and 4 (6%) were from outbreaks. Five strains isolated from the blood of immunocompromised patients at Memorial Sloan-Kettering Cancer Center were a generous gift from Dr. Michael Glickman. Bacteria were grown in brain heart infusion (BHI, Bacto®, BD) media at 37°C. When necessary, media were supplemented with the following antibiotics, all purchased from Sigma: chloramphenicol (7.5µg/mL), nalidixic acid (25µg/mL), streptomycin (200µg/mL) or erythromycin (2µg/mL).

Signature tag (DNA barcode) integration in clinical strains

Unique 40-bp signature tags (STs) were inserted into *L. monocytogenes* strain genomes by site-specific integration from the pPL2 vector as previously described (23). Briefly, pPL2 contains the PSA phage integrase and attachment site. This allows for stable, single copy

111 integration in the tRNA^{Arg} gene. Tagged clinical strains generated in this study used tags 116,
112 119, 191, 205, 210, 219, 231, 234, 242, 288 and 296. Integrations were confirmed by selection
113 for chloramphenicol resistance and PCR as previously described (24). It has been shown
114 previously that insertion of signature tags does not influence bacterial growth (23).

115

116 *Animal infections.*

117 This study was carried out in strict accordance with the recommendations in the Guide
118 for the Care and Use of Laboratory Animals of the National Institutes of Health. All protocols
119 were reviewed and approved by the Animal Care and Use Committee at the University of
120 California, San Francisco (IACUC# AN079731-03A). Individual strains were grown in BHI at
121 37°C overnight. On the day of infection, 11 differentially-tagged strains were combined at equal
122 ratios to generate ten input pools. Nine input pools (clinical pools) contained nine clinical and
123 two 10403S strains; one input pool (control pool) contained 11 differentially-tagged 10403S
124 strains. 6-8 week old non-pregnant female CD1 mice (Charles River Laboratories) were
125 inoculated i.v. with a total of 2×10^5 CFU pooled bacteria per animal. Pregnant Hartley guinea
126 pigs (Elm Hill Labs, MA) were inoculated i.v. on gestational day 35 with a total of 1×10^8 CFU
127 pooled bacteria per animal. For the mouse experiments, each clinical pool was injected into five
128 mice on two separate days for a total of ten mice per pool; the control pool was injected into 15
129 mice on three separate days. Murine spleens were removed at 48 h.p.i. For the guinea pig
130 experiments each pool was injected into 2-5 pregnant guinea pigs depending on the number of
131 fetuses per dam. The total number of guinea pigs injected with clinical pools was 24 with a total
132 of 96 placentas. The control pool was injected into 3 guinea pigs with a total of 11 placentas.
133 Guinea pig spleens and placentas were removed at 24 h.p.i. Organs were homogenized in 0.2%

134 Igepal (Sigma) with a tissue grinder. Aliquots from each output pool were plated on BHI agar
135 plates containing 25µg/mL nalidixic acid. CFU per organ were enumerated, and at least 10⁴
136 colonies from each output pool were scraped off the plates and re-suspended in PBS. Aliquots of
137 these suspensions were stored at -20°C. Input pools were prepared in the same fashion.

138

139 *qPCR*

140 Genomic DNA was extracted from input and output pools using a Gram-positive DNA
141 purification kit (Epicentre), substituting mutanolysin (5U/µL, Sigma) for lysozyme. Relative
142 quantification by qPCR for each signature tag was achieved with previously published primer
143 sets: signature tag-specific forward primers and the common pPL2-395R reverse primer (23). In
144 addition, one primer set (LIM2 and LIMRE) was directed against *iap*, a gene used as internal
145 reference (25). All qPCR reactions were performed in a Roche LightCycler® 480 qPCR
146 machine. Each 20µL reaction contained 10µL SsoAdvanced™ SYBR® Green Universal
147 Supermix (Bio-Rad), 200nM of each primer, nuclease-free water and template DNA. A total of
148 20ng template DNA was used for experimental samples. DNA extracted from 10403S-signature
149 tagged reference strains was used to construct qPCR standard curves for each signature tag
150 primer set, with template amounts of 100ng, 10ng, 1ng, 0.1ng, and 0.01ng. Cycling conditions
151 were as follows: 98°C 2', (98°C 5'', 60°C 20'', 68°C 20'') x 40 cycles, followed by a melting
152 curve cycle (98°C 15'', 60°C 30'', ramp to 98°C in 0.29°C/sec intervals). For each animal
153 species, duplicate qPCR reactions for the standard curve dilutions, input and output pools, and
154 template-free controls were run in parallel on a single 384-well plate per primer set.

155 The relative abundance of each signature tag in each output sample was determined in
156 relation to the reference gene *iap* and the respective input pool. Quantification of cycle numbers

157 and primer efficiencies were obtained using Lightcycler® Software release 1.5.0 SP3 (Roche).
158 Relative abundance (RA) values were calculated using the following equation, which accounts
159 for different primer efficiencies (26): $RA = ((E_{iap}^{Cq_{iap-sample}})/(E_{ST}^{Cq_{ST-sample}}))/((E_{iap}^{Cq_{iap-}}$
160 $input)/(E_{ST}^{Cq_{ST-input}}))$, where E_{iap} and E_{ST} are the efficiency values calculated from the standard
161 curves for the *iap* and ST-specific primers.

163 *Determination of virulence*

164 Within each output pool, the average relative abundance was calculated for each clinical
165 strain and divided by the average abundance of the two reference strains in the same output pool.
166 This yielded an output pool-specific, normalized relative abundance for each clinical isolate. The
167 standard deviation of normalized abundances was calculated using the control group that
168 consisted of 11 differentially-tagged 10403S strains. A z-score describing the normalized relative
169 abundance for each strain compared to 10403S was then calculated by subtracting the mean of
170 the control group relative abundance and dividing by the standard deviation of control group
171 relative abundance. Strains that were significantly more or less abundant ($p < 0.01$) were
172 identified according to a normal distribution of z-scores.

174 *Direct competition assay*

175 6-8 week old female CD1 mice (Charles River) were inoculated i.v. with 2×10^5 CFU of
176 one clinical isolate (erythromycin-susceptible) and 10403S (erythromycin-resistant) at 1:1 ratio.
177 Bacteria were recovered from spleen at 48 h.p.i. and enumerated, then individual colonies were
178 tested for differential susceptibility to erythromycin to represent clinical versus 10403S reference
179 strain. The control group was injected with a 1:1 ratio of two 10403S strains that differed in their

180 susceptibility to erythromycin. Statistical significance was determined by one-way ANOVA with
181 Dunnett's multiple comparisons post-test.

182

183 **Results**

184 *Clinical isolates and in vivo screening method*

185 Our laboratory reference strain 10403S (21) is a streptomycin resistant derivative of *L.*
186 *monocytogenes* strain 10403, which was originally isolated from a human skin lesion in 1968
187 (27). 10403S is one of the most widely used strains for experimental investigation and has been
188 passaged for decades under laboratory conditions (28). We sought to use a DNA strain barcoding
189 and pooling assay scheme (Fig. 1) to determine how dozens of recent clinical isolates that had
190 not been previously cultivated in the laboratory differ in virulence from 10403S.

191 We compiled 77 clinical isolates of *L. monocytogenes*: 72 strains from pregnancy-
192 associated cases of listeriosis collected by the CDC over a 10-year period (2001 to 2011) in 24
193 US states, and five strains from the blood of immunocompromised non-pregnant patients
194 undergoing cancer therapy at Memorial Sloan Kettering Cancer Center (MSKCC) in New York
195 (Fig. 2A and Supplementary Table S1). Almost all strains were from sporadic cases of listeriosis.
196 Four strains were from three different outbreaks of listeriosis associated with the following
197 contaminated food sources: (i) Mexican-style cheese in 2005 (placental isolate, serotype 4b)
198 (29), (ii) turkey deli meat in 2006 (placental and neonatal blood isolates from unrelated mother
199 and neonate, serotype 4b) (30), and (iii) hog head cheese in 2011 (maternal blood isolate,
200 serotype 1/2a) (7). Only the strains from the CDC were serotyped. Among these, serotype 4b was
201 most common, followed by 1/2a and 1/2b, consistent with previous reports (5, 6) (Fig. 2B).

202 We compared the virulence of each clinical strain to 10403S in two animal models: (1)
203 non-pregnant mice, the standard model for the pathogenesis of systemic listeriosis; and, (2)
204 pregnant guinea pigs, an excellent small animal model for pregnancy-associated listeriosis (11).
205 In order to minimize the number of animals required for virulence screening, we incorporated a
206 different, previously characterized DNA barcode into the chromosome of each clinical isolate
207 (23). Clinical strains were assigned to pools a priori; pools were balanced such that they included
208 one of each signature tag from the set used, and each included one commonly tagged and one
209 differentially tagged 10403S strain. Subsequently, each animal was inoculated with pools of
210 differentially-tagged bacteria. We used a total of 10 pools, each containing 11 strains marked by
211 unique barcodes. The control pool contained eleven 10403S strains, while each of the remaining
212 nine pools consisted of nine clinical and two 10403S strains (Pools A-I).

213

214 *Profiling systemic virulence in mice and guinea pigs*

215 Mice were infected intravenously (i.v.) with a total of 2×10^5 CFU/animal (10
216 animals/pool). The median bacterial burden in the control spleens 48 hours post-inoculation
217 (h.p.i) was 7.2×10^7 CFU (Fig. 3A). The median CFU in the spleen of mice inoculated with pools
218 containing clinical strains ranged from 5.6×10^7 CFU (Pool D) to 1.9×10^8 CFU (Pool G), and did
219 not differ significantly from the median of the control pool except in two instances: the median
220 bacterial burden of Pools F and G were 1.8- and 2.6-fold higher than the control pool.

221 Using qPCR with primers specific for each DNA barcode, we determined the average
222 relative abundance of each clinical strain in comparison to 10403S among the bacteria recovered
223 from each spleen (Fig. 3B). We observed a range of virulence phenotypes both within and across

224 the individually analyzed pools. We found that 27 strains were significantly more virulent (z-
225 score >2.0 , red points in Fig. 3B) and 18 strains were significantly less virulent (z-score <-2.0 ,
226 green points in Fig. 3B) than 10403S. Strains with significantly different virulence were present
227 in all pools. Most pools contained one or more high and low virulence strains; only one pool did
228 not contain a low virulence strain (Pool C). Importantly, four sporadic clinical strains (strains 2,
229 16, 21, and 39; see also Supplementary Table S1) that were present in two different pools
230 showed similar virulence in their two pools, suggesting that the combination of strains within
231 each pool did not significantly influence the virulence score of individual strains.

232 We validated our approach by direct competition of select clinical isolates with 10403S
233 in non-pregnant mice (22). We chose six clinical strains with virulence scores that were either
234 significantly higher or lower than 10403S in the pooled assay. Mice were inoculated i.v. with one
235 clinical isolate in combination with 10403S, and their spleens assayed for bacteria at 48 h.p.i.
236 The strains differed in their susceptibility to erythromycin and were injected at a ratio of 1:1 and
237 a total CFU of 2×10^5 /mouse. Consistent with the results of our screen, the two hypervirulent
238 strains 13 and 79 were ~5-fold more virulent than 10430S and strain 63 was 2-fold more virulent
239 (Fig. 3C). In contrast, the hypovirulent strains 19, 39, and 64 were 2-3-fold less virulent than
240 10403S. These results recapitulated the virulence phenotypes identified in the screen.

241 Next, we infected pregnant Hartley guinea pigs i.v. with 1×10^8 CFU of the same pools we
242 used in the mouse screen, and determined the bacterial burden 24 h.p.i. We chose an earlier time
243 point than in the mouse screen to avoid the potentially confounding effect of bacterial trafficking
244 between placenta and spleen at later time points (12). Twenty-four pregnant guinea pigs were
245 inoculated with clinical pools (2-5 animals/pool); 3 animals were inoculated with the control
246 pool. The median bacterial burden in the spleens of the control pool was 2.4×10^6 CFU, and

247 ranged from 3.6×10^6 CFU (Pool D) to 3.1×10^7 CFU (Pool C) in the spleens of animals inoculated
248 with pools containing clinical isolates, indicating higher overall burdens (Fig. 4A). We
249 determined the average relative abundance of each strain in the guinea pig spleen normalized to
250 10403S as described above. We identified 22 hypervirulent and 20 hypovirulent strains (Fig.
251 4B).

252 In both animal models, high- and low-virulence strains were distributed stochastically
253 across the pools, which we expected with randomized pool assignments. In the guinea pig spleen
254 the relative abundance of 10403S in the control pool exhibited a wider range than in the mouse
255 (compare Fig. 3B to 4B). However, the virulence scores of the clinical isolates were similar
256 between mouse and guinea pig spleen. The scores were concordant for 70% (54/77) of the
257 strains, and among the discordant strains all but one were either hyper- or hypovirulent in one
258 animal model and intermediately virulent in the other animal model (Supplementary Table S2).
259 Only one strain (strain 22, an outbreak strain) was hypervirulent in murine spleen and
260 hypovirulent in guinea pig spleen.

261

262 *Virulence screen in the guinea pig placenta*

263 We evaluated the relative virulence of the clinical isolates in the placentas (n=107) of the
264 inoculated guinea pigs (8-15 placentas/pool). The median bacterial burden in the control group
265 was 8.2×10^5 CFU per placenta (Fig. 4A). The median of the clinical pools ranged from 1.7×10^6
266 CFU (Pool A) to 8.4×10^6 CFU per placenta (Pool C). The range of CFU across all placentas
267 spanned 3-log (3×10^4 to 3.8×10^7 CFU), which is typical for placental infection and likely due to
268 the stringent bottleneck in placental colonization (12). Consistent with a tight bottleneck we

269 found the bacterial founding population in the placenta to be significantly smaller than in the
270 spleen. We calculated a median founding population of 1.1×10^5 CFU in spleens and 278 CFU in
271 placentas, respectively (Supplementary Figure S1).

272 Next, we determined the relative abundance of clinical isolates in the guinea pig placenta
273 in comparison to 10403S. We identified 14 clinical strains with high and 10 clinical strains with
274 low virulence in the placenta (Fig. 4C). As in the spleen, high and low virulence strains were
275 distributed stochastically across the pools. Virulence was also assayed by comparing the fraction
276 of placentas where a strain had a high relative abundance ($RA > 1$) compared to its relative
277 abundance in guinea pig placentas. We reasoned that hypervirulent strains would be able to
278 infect more placentas as well as have greater abundance within placentas. In general, the fraction
279 of infected placentas did correlate strongly with the average relative abundance across placentas
280 (Fig. 4D). However, this analysis also revealed nine strains with a fraction of infected placentas
281 equivalent to or higher than that of several strains deemed more virulent by the relative
282 abundance parameter described above.

283 Comparison of the virulence scores in placenta and/or spleen of both rodents showed a
284 striking degree of overlap among the three datasets. Only two strains showed a placenta-specific
285 virulence phenotype (strains 7 and 43). These were hypervirulent in the placenta (by Z-score and
286 fraction of infected placentas), and intermediately virulent in spleen of guinea pigs and mice. The
287 five strains that were isolated from immunocompromised, non-pregnant adults all had
288 intermediate virulence scores in the placenta, and varying virulence scores in the spleen of both
289 animal models (Supplementary Table S1). The four outbreak strains demonstrated variable
290 virulence scores across all organs; only one of the outbreak strains scored hypervirulent in all

291 organs. However, due to the small number of these strains it is not possible to draw any further
292 conclusions.

293

294 Discussion

295 Here we report the *in vivo* virulence phenotypes for 77 clinical strains of *L.*
296 *monocytogenes*: 72 from pregnancy-associated listeriosis cases and five from non-pregnant
297 immunocompromised patients. Of the 72 pregnancy-associated strains, 68 were sporadic isolates
298 and four were associated with foodborne outbreaks. Using a novel DNA barcode approach with
299 qPCR, we identified isolates with either significantly higher or lower virulence than the standard
300 laboratory reference strain 10403S in systemic listeriosis as well as placental infection. However,
301 no strain showed more than a 5-fold difference in virulence compared to 10403S. By using
302 signature tagged (barcoded) strains and qPCR, we found the 77 strains to be an even mix of
303 hypervirulent, hypovirulent and intermediately virulent. Both outbreak and sporadic clinical
304 isolates were compared, but neither associated with any virulence phenotype.

305 Our isolates included four strains collected during recent outbreaks of foodborne
306 listeriosis in the United States (7, 29, 30). In contrast to the bloodstream isolates from septicemic
307 patients, these isolates were each associated with otherwise healthy pregnancies. We observed
308 that one of these strains was highly virulent in all three assays, while the remaining three showed
309 varied but overall moderate virulence patterns (Supplemental Table 1, Strains 13, 21, 22, 23). It
310 is tempting to assume that outbreaks are due to increases in virulence. However, in addition to
311 the bacterial virulence, independent factors such as ingested dose, maternal genetics and overall
312 maternal health may dramatically influence the outcome of exposure to *L. monocytogenes*.

313 Evaluating the effect of any of these factors would require additional studies, potentially
314 including prospective studies to fully characterize the maternal status correlated with placental
315 infection and pregnancy outcomes.

316 Population biology studies of pathogens have focused primarily on how virulence
317 evolved, outbreaks arose, and antibiotic resistance spread (15–18, 31). Fewer studies have sought
318 to compare the *in vivo* virulence of clinical strains over a period of time. In part, this is due to the
319 high cost of animal research and the need for several animals per strain. In order to circumvent
320 this, we developed a DNA barcode system. Previous uses of signature tagged strains in *L.*
321 *monocytogenes* have involved understanding bottlenecks in disseminations and alanine
322 suppression screening to investigate virulence factors (13, 23). Here, it allowed for the
323 simultaneous use of clinical strains in order to reduce the number of animals required to assess
324 virulence. It has been shown previously that the insertion of signature-tags via the pPL2
325 integration vector does not influence bacterial growth (23). Consistent with this we did not
326 observe any significant differences in the abundance of bar coded 10403S strains in the control
327 pools. This technique could be even more valuable in larger, more expensive animal models,
328 such as nonhuman primates. Additionally, the ability to test resistance to food processing
329 techniques could be streamlined by using signature tagged libraries of clinical strains.

330 We observed a larger variation in the distribution of strain abundances in the guinea pig
331 placenta than in either of the spleen datasets. This is consistent with the previously reported
332 bottleneck for placental infection (12, 13); therefore, we determined the founding population in
333 the guinea pig placenta. We calculated approximately 1/360,000 bacteria from the inoculum will
334 infect the placenta. Many of the hypervirulent strains both had a higher abundance in the
335 placenta and infected a greater fraction of placentas. Therefore, in assessing virulence for organs

336 in which an infection bottleneck exists, CFU burden alone are an incomplete measure, and the
337 fraction of organs infected should also be evaluated.

338 Clinical strains had similar virulence between their spleens and placentas. *L.*
339 *monocytogenes* strains have been analyzed by multilocus strain typing and organized into clonal
340 clusters (18). The most prevalent clonal clusters in bacteremia were also present in placental and
341 neuroinvasive strains. This suggests that successful placental colonization requires a robust
342 systemic infection. It does not mean, however, that *L. monocytogenes* has not evolved
343 specialized determinants to infect the placenta. Guinea pig models have identified genes required
344 for successful colonization of the placenta compared to the liver (32). And outbreak strains in
345 some pathogens have been traced to novel virulence factors through recombination or horizontal
346 gene transfer (33). A notable example is the EHEC O157:H7 strain that gained shiga toxin genes
347 via horizontal gene transfer (34). Further, *Streptococcus* species have novel virulence factors
348 associated with accessory regions; that is, genes not found in the core genome (35). However, *L.*
349 *monocytogenes* has been reported to have a highly conserved and syntenic genome (36). Out of
350 the large number of clonal clusters from a French *Listeria monocytogenes* reference library, only
351 the CC4 strains have so far demonstrated an increase in neuronal and placental infection without
352 an increase in splenic or hepatic infection, likely due to a novel carbon metabolism operon (20).
353 Within our set of American isolates, we only observed one instance of a decreased splenic
354 virulence and increased placental virulence. Interestingly, this strain, LS22, was isolated from
355 neonatal blood during a deli meat outbreak (30). However, another isolate from the same
356 outbreak but isolated from a placenta (LS23) did not show this phenotype. Both strains were
357 serotype 4b, which is more commonly associated with clinical cases (37).

358 Our lack of strains with increased placental virulence compared to maternal organs may
359 be due to our sample size of clinical isolates being $\sim 1/100^{\text{th}}$ of that initially used by Maury et al.,
360 (20); although that work assayed a similar number of clones for virulence, they were chosen as
361 representative of the starting population's clonal clusters. The tight linkage between maternal and
362 placental virulence and the fact that human placental infection provides no epidemic selective
363 advantage suggests that placenta-specific strains are likely rare.

364 Our survey of virulence in both sporadic and outbreak strains from pregnancy-associated
365 listeriosis cases shows that American *L. monocytogenes* isolates are evenly spread around the
366 long-used laboratory strain 10403S, with some more and some less virulent in animal models.
367 This validates the use of that laboratory strain in pathogenesis studies. Further, the lack of clear
368 difference between outbreak and sporadic strains suggest that listerial epidemiology is not a
369 function of pathogen virulence but of other factors, likely related to individual behaviors/health
370 and food production practices. Finally, we found a tight coupling between maternal bacterial
371 burden and placental infection, suggesting that a primary driver of placental susceptibility is the
372 degree of maternal infection. The DNA barcode approach is a powerful and cost-efficient way to
373 assess the performance of large numbers of diverse clones in animal models.

374

375 **Acknowledgements**

376 We are grateful to Lewis Graves (CDC) who provided the *L. monocytogenes* strains. This work
377 was supported by NIH R01AI084928 (A.I.B), Burroughs Wellcome Fund (A.I.B), NIH
378 F32AI102491 (H.A.M), and NIH F32AI120676 (D.E.L).

379

380 **Figure Legends**

381 **Fig. 1. Experimental design.** Signature-tagged *L. monocytogenes* strains were pooled and
382 injected i.v. into pregnant guinea pigs or non-pregnant mice. Each pool contained 11 barcoded
383 strains; 9 clinical and 2 laboratory reference strains (10403S) in the clinical pools, and 11
384 laboratory reference strains in the 10403S pool. For each organ set (guinea pig spleen, guinea pig
385 placenta, mouse spleen), virulence scores were assigned to each strain based on the average
386 relative abundance in the infected organs in comparison to the laboratory reference strains.

387

388 **Fig. 2. Clinical isolates. A)** Pregnancy associated *L. monocytogenes* strains (n=72) from 25 US
389 states were collected by the CDC between 2000-2010, and five strains were isolated from
390 immunocompromised patients at MSKCC (n=5; immunocompromised). Most of the pregnancy-
391 associated strains were associated with sporadic cases of listeriosis and were isolated from
392 placental tissue (n=68; pregnancy, sporadic). Four strains were associated with listeriosis
393 outbreaks in the US (n=4; pregnancy, outbreak). These 4 strains were isolated from placenta
394 (n=2), maternal blood (n=1), and neonatal blood (n=1). **B)** Serotype distribution of pregnancy-
395 associated strains.

396

397 **Fig. 3. Virulence screen of clinical *L. monocytogenes* isolates in murine spleen.** CD1 mice
398 (non-pregnant) were infected i.v. with bacterial pools containing differentially-tagged *L.*
399 *monocytogenes* strains at equal ratios (total of 10 pools). Pools A-I contained nine clinical and
400 two 10403S strains per pool; the 10403S pool contained 11 laboratory reference strains.
401 Statistically significant differences in splenic bacterial burden compared to the control group

402 were determined using one-way ANOVA with Dunnett's multiple comparisons post test. ***,
403 $p < 0.0001$. **, $p < 0.01$. *, $p < 0.05$. **A)** Bacterial burden in murine spleen 48 h.p.i. with 2×10^5 CFU
404 per pool. Pools A-I: $n = 10$ mice/pool; 10403S pool: $n = 15$ mice. Each circle represents the
405 bacterial burden in one spleen, and each pool is represented by a different color. Red lines
406 represent median. **B)** The average relative abundance of each strain in mouse spleen was
407 quantified by qPCR. To accurately compare values across pools, the average relative abundance
408 for each isolate was then normalized to the average of the reference strain in each pool.
409 Significance z-scores were calculated for the deviation from the range expected based on the
410 10403S pool (black circles). Blue circles indicate isolates with virulence similar to 10403S
411 (intermediate virulence). Red and green circles indicate isolates with significantly higher and
412 lower virulence, respectively. **C)** CD1 mice were infected with one erythromycin-resistant
413 10403S strain and one erythromycin-susceptible untagged clinical isolate at a 1:1 ratio. The
414 clinical isolates were chosen based on their virulence scores in Fig 3B: 3 hyper- (red circles) and
415 3 hypo- (green circles) virulent strains. Competitive indices (isolate/10403S) were calculated for
416 bacteria recovered from the spleen 48 h.p.i. The control group was infected with two 10403S
417 strains that differed in their susceptibility to erythromycin (10403S/E, black circles). Each group
418 contained 5 mice from 2 separate experiments.

419

420 **Fig. 4. Virulence screen of clinical *L. monocytogenes* isolates in pregnant guinea pigs (spleen**
421 **and placenta).** Pregnant Hartley guinea pigs were infected i.v. with pools containing
422 differentially-tagged *L. monocytogenes* strains (see Fig. 3). Statistically significant differences
423 compared in bacterial burden in spleen and placenta to the control group were determined using
424 one-way ANOVA with Dunnett's multiple comparisons post-test. ***, $p < 0.0001$. **, $p < 0.01$. *,

425 $p < 0.05$. **A)** Bacterial burden in guinea pig spleen and placenta 24 h.p.i. with 10^8 CFU per pool.
426 The total number of guinea pigs was 27 with a total of 107 placentas. Number of placentas in
427 each pool: A=12; B=8; C=9; D=8; E=15; F=10; G=14; H=12; I=8, 10403S=11. Each filled circle
428 represents the bacterial burden in one placenta, and each pool is represented by a different color.
429 Red lines represent median placental CFU. Empty circles represent the median bacterial burden
430 in spleens from each pool. **B)** The average relative abundance of each strain in guinea pig spleen
431 was quantified by qPCR and significance z-scores were calculated. Black dots indicate 10403S
432 strains. Blue circles indicate isolates with virulence similar to 10403S (intermediate virulence).
433 Red and green circles indicate isolates with significantly higher and lower virulence,
434 respectively. **C)** Average relative abundance of each strain in guinea pig placenta quantified and
435 calculated as described above. **D)** Correlation of relative abundance of each strain in the placenta
436 with the fraction of placentas they infected at higher relative abundance than their inoculant
437 (RA>1.0). Gray dashed outline encircles isolates not identified as highly virulent by relative
438 abundance alone, but with infected fractions comparable to high virulence isolates. Color coding
439 corresponds to panel C.
440

441 **References**

- 442 1. Chenal-Francisque V, Lopez J, Cantinelli T, Caro V, Tran C, Leclercq A, Lecuit M, Brisse S. 2011.
443 Worldwide Distribution of Major Clones of *Listeria monocytogenes*. *Emerg Infect Dis* 17:1110–
444 1112.
- 445 2. de Noordhout CM, Devleesschauwer B, Angulo FJ, Verbeke G, Haagsma J, Kirk M, Havelaar A,
446 Speybroeck N. 2014. The global burden of listeriosis: a systematic review and meta-analysis.
447 *Lancet Infect Dis* 14:1073–1082.
- 448 3. Scallan E, Hoekstra RM, Angulo FJ, Tauxe RV, Widdowson M-A, Roy SL, Jones JL, Griffin PM. 2011.
449 Foodborne Illness Acquired in the United States—Major Pathogens. *Emerg Infect Dis* 17:7–15.
- 450 4. Lorber. 1997. Listeriosis. *Clin Infect Dis* 24:541–541.
- 451 5. Gottlieb SL, Newbern EC, Griffin PM, Hoekstra RM, Baker NL, Hunter SB, Sobel J. Multistate
452 Outbreak of Listeriosis Associated with Cantaloupe | NEJM. *Clin Infect Dis* 42:29–36.
- 453 6. Linnan MJ, Mascola L, Lou XD, Goulet V, May S, Salminen C, Hird DW, Yonekura ML, Hayes P,
454 Weaver R. 1988. Epidemic listeriosis associated with Mexican-style cheese. *N Engl J Med* 319:823–
455 828.
- 456 7. Centers for Disease Control and Prevention (CDC). 2011. Outbreak of invasive listeriosis associated
457 with the consumption of hog head cheese--Louisiana, 2010. *MMWR Morb Mortal Wkly Rep*
458 60:401–405.
- 459 8. Centers for Disease Control and Prevention (CDC). 2013. Vital signs: *Listeria* illnesses, deaths, and
460 outbreaks--United States, 2009-2011. *MMWR Morb Mortal Wkly Rep* 62:448–452.

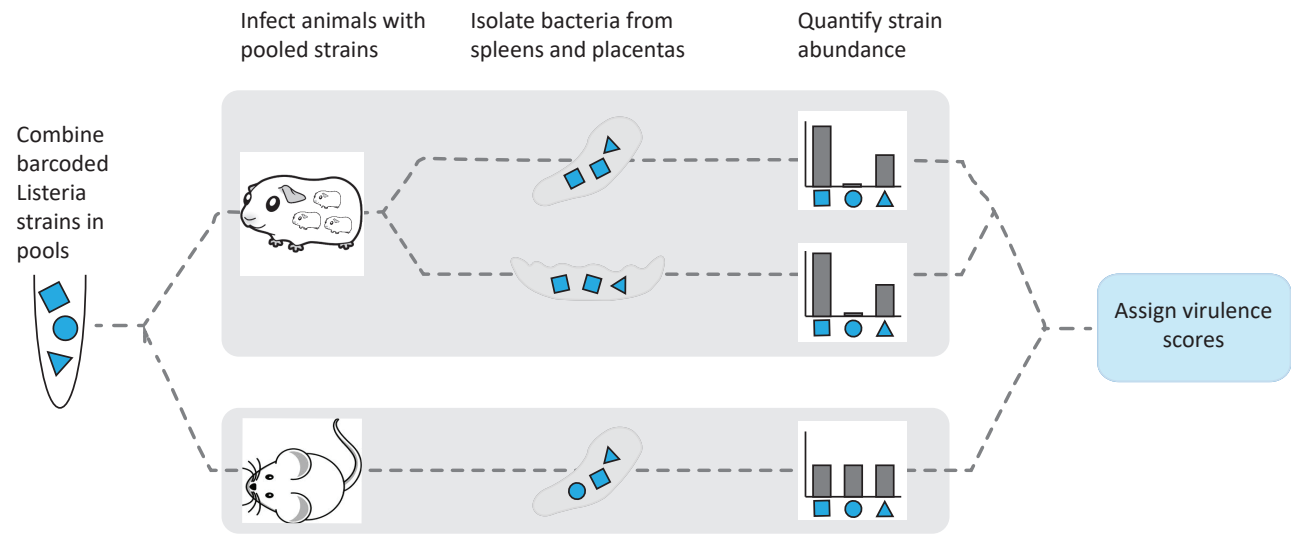
- 461 9. Lamont RF, Sobel J, Mazaki-Tovi S, Kusanovic JP, Vaisbuch E, Kim SK, Uldbjerg N, Romero R. 2011.
462 Listeriosis in human pregnancy: a systematic review. *J Perinat Med* 39:227–236.
- 463 10. Lowe DE, Robbins JR, Bakardjiev AI. 2018. Animal and human tissue models of vertical *Listeria*
464 *monocytogenes* transmission and implications for other pregnancy-associated infections. *Infect*
465 *Immun* IAI.00801-17.
- 466 11. Bakardjiev AI, Stacy BA, Fisher SJ, Portnoy DA. Listeriosis in the pregnant guinea pig: a model of
467 vertical transmission. - PubMed - NCBI. *Infect Immun* 72:489–497.
- 468 12. Bakardjiev AI, Theriot JA, Portnoy DA. 2006. *Listeria monocytogenes* traffics from maternal organs
469 to the placenta and back. *PLoS Pathog* 2:e66.
- 470 13. Melton-Witt JA, Rafelski SM, Portnoy DA, Bakardjiev AI. 2012. Oral infection with signature-tagged
471 *Listeria monocytogenes* reveals organ-specific growth and dissemination routes in guinea pigs.
472 *Infect Immun* 80:720–732.
- 473 14. Notermans S, Dufrenne J, Teunis P, Chackraborty T. 1998. Studies on the risk assessment of *Listeria*
474 *monocytogenes*. *J Food Prot* 61:244–248.
- 475 15. Piffaretti JC, Kressebuch H, Aeschbacher M, Bille J, Bannerman E, Musser JM, Sclander RK, Rocourt
476 J. 1989. Genetic characterization of clones of the bacterium *Listeria monocytogenes* causing
477 epidemic disease. *Proc Natl Acad Sci* 86:3818–3822.
- 478 16. Chen Y, Zheng W, Knabel SJ. 2007. Multi-Virulence-Locus Sequence Typing Identifies Single
479 Nucleotide Polymorphisms Which Differentiate Epidemic Clones and Outbreak Strains of *Listeria*
480 *monocytogenes*. *J Clin Microbiol* 45:835–846.

- 481 17. Mereghetti L, Lanotte P, Savoye-Marczuk V, Marquet-Van Der Mee N, Audurier A, Quentin R. 2002.
482 Combined Ribotyping and Random Multiprimer DNA Analysis To Probe the Population Structure of
483 *Listeria monocytogenes*. *Appl Environ Microbiol* 68:2849–57.
- 484 18. den Bakker HC, Fortes ED, Wiedmann M. 2009. Multilocus Sequence Typing of Outbreak-
485 Associated *Listeria monocytogenes* Isolates to Identify Epidemic Clones. *Foodborne Pathog Dis*
486 7:257–265.
- 487 19. Ragon M, Wirth T, Hollandt F, Lavenir R, Lecuit M, Monnier AL, Brisse S. 2008. A New Perspective
488 on *Listeria monocytogenes* Evolution. *PLOS Pathog* 4:e1000146.
- 489 20. Maury MM, Tsai Y-H, Charlier C, Touchon M, Chenal-Francisque V, Leclercq A, Criscuolo A, Gaultier
490 C, Roussel S, Brisabois A, Disson O, Rocha EPC, Brisse S, Lecuit M. 2016. Uncovering *Listeria*
491 *monocytogenes* hypervirulence by harnessing its biodiversity. *Nat Genet* 48:308.
- 492 21. Bishop DK, Hinrichs DJ. 1987. Adoptive transfer of immunity to *Listeria monocytogenes*. The
493 influence of in vitro stimulation on lymphocyte subset requirements. *J Immunol Baltim Md* 1950
494 139:2005–2009.
- 495 22. Auerbuch V, Lenz LL, Portnoy DA. 2001. Development of a competitive index assay to evaluate the
496 virulence of *Listeria monocytogenes* actA mutants during primary and secondary infection of mice.
497 *Infect Immun* 69:5953–5957.
- 498 23. Melton-Witt JA, McKay SL, Portnoy DA. 2012. Development of a single-gene, signature-tag-based
499 approach in combination with alanine mutagenesis to identify listeriolysin O residues critical for
500 the in vivo survival of *Listeria monocytogenes*. *Infect Immun* 80:2221–2230.

- 501 24. Lauer P, Chow MYN, Loessner MJ, Portnoy DA, Calendar R. 2002. Construction, characterization,
502 and use of two *Listeria monocytogenes* site-specific phage integration vectors. *J Bacteriol*
503 184:4177–4186.
- 504 25. Hein I, Klein D, Lehner A, Bubert A, Brandl E, Wagner M. 2001. Detection and quantification of the
505 iap gene of *Listeria monocytogenes* and *Listeria innocua* by a new real-time quantitative PCR assay.
506 *Res Microbiol* 152:37–46.
- 507 26. Bustin SA, Mueller R. 2005. Real-time reverse transcription PCR (qRT-PCR) and its potential use in
508 clinical diagnosis. *Clin Sci Lond Engl* 1979 109:365–379.
- 509 27. Edman DC, Pollock MB, Hall ER. 1968. *Listeria monocytogenes* L forms. I. Induction maintenance,
510 and biological characteristics. *J Bacteriol* 96:352–357.
- 511 28. Becavin C, Bouchier C, Lechat P, Archambaud C, Creno S, Gouin E, Wu Z, Cossart P. 2014.
512 Comparison of Widely Used *Listeria monocytogenes* Strains EGD, 10403S, and EGD-e Highlights
513 Genomic Differences Underlying Variations in Pathogenicity. *MBio* 5:e00969-14.
- 514 29. MacDonald PDM, Whitwam RE, Boggs JD, MacCormack JN, Anderson KL, Reardon JW, Saah JR,
515 Graves LM, Hunter SB, Sobel J. 2005. Outbreak of Listeriosis among Mexican Immigrants as a
516 Result of Consumption of Illicitly Produced Mexican-Style Cheese. *Clin Infect Dis* 40:677–682.
- 517 30. Gottlieb SL, Newbern EC, Griffin PM, Graves LM, Hoekstra RM, Baker NL, Hunter SB, Holt KG,
518 Ramsey F, Head M, Levine P, Johnson G, Schoonmaker-Bopp D, Reddy V, Kornstein L, Gerwel M,
519 Nsubuga J, Edwards L, Stonecipher S, Hurd S, Austin D, Jefferson MA, Young SD, Hise K, Chernak
520 ED, Sobel J. 2006. Multistate Outbreak of Listeriosis Linked to Turkey Deli Meat and Subsequent
521 Changes in US Regulatory Policy. *Clin Infect Dis* 42:29–36.

- 522 31. Leavis HL, Bonten MJ, Willems RJ. 2006. Identification of high-risk enterococcal clonal complexes:
523 global dispersion and antibiotic resistance. *Curr Opin Microbiol* 9:454–460.
- 524 32. Faralla C, Rizzuto GA, Lowe DE, Kim B, Cooke C, Shiow LR, Bakardjiev AI. 2016. InIP, a New
525 Virulence Factor with Strong Placental Tropism. *Infect Immun* 84:3584–3596.
- 526 33. Juhas M. 2015. Horizontal gene transfer in human pathogens. *Crit Rev Microbiol* 41:101–108.
- 527 34. Laing CR, Zhang Y, Gilmour MW, Allen V, Johnson R, Thomas JE, Gannon VPJ. 2012. A Comparison
528 of Shiga-Toxin 2 Bacteriophage from Classical Enterohemorrhagic *Escherichia coli* Serotypes and
529 the German *E. coli* O104:H4 Outbreak Strain. *PLOS ONE* 7:e37362.
- 530 35. Blomberg C, Dagerhamn J, Dahlberg S, Browall S, Fernebro J, Albiger B, Morfeldt E, Normark S,
531 Henriques-Normark B. 2009. Pattern of Accessory Regions and Invasive Disease Potential in
532 *Streptococcus pneumoniae*. *J Infect Dis* 199:1032–1042.
- 533 36. den Bakker HC, Cummings CA, Ferreira V, Vatta P, Orsi RH, Degoricija L, Barker M, Petrauskene O,
534 Furtado MR, Wiedmann M. 2010. Comparative genomics of the bacterial genus *Listeria*: Genome
535 evolution is characterized by limited gene acquisition and limited gene loss. *BMC Genomics*
536 11:688.
- 537 37. McLauchlin J. 1990. Distribution of serovars of *Listeria monocytogenes* isolated from different
538 categories of patients with listeriosis. *Eur J Clin Microbiol Infect Dis Off Publ Eur Soc Clin Microbiol*
539 9:210–213.

540



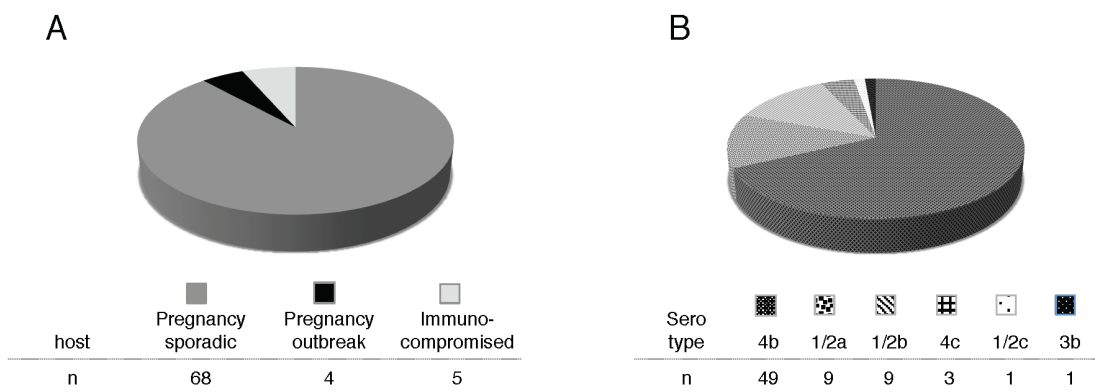


Fig. 2

